

# Symplectic invariants and symplectic reduction

## Details of [V1] p. 706

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The aim of this note is to clarify the proof of the camel problem from [V1] p.706. I wish to thank David Th eret for pointing out some shortcomings in the proof.

In this note we extend the notion of G.F.Q.I. by allowing functions  $S : N \times (F \times \mathbb{R}^k) \longrightarrow \mathbb{R}$  coinciding with a nondegenerate quadratic form near infinity. Note that  $F$  is assumed to be compact. In this note the parentheses indicate the fibre variables.

Then

$$L_S = \left\{ (x, \frac{\partial S}{\partial x}(x, y, \xi)) \mid \frac{\partial S}{\partial p}(x, y, \xi) = 0; \frac{\partial S}{\partial \xi}(x, y, \xi) = 0 \right\}$$

Note that  $L$  has a G.F.Q.I. in this generalized sense if and only if it is the reduction of a submanifold of  $T^*(N \times F)$ , which has a G.F.Q.I. in the former sense, by  $C_F = T^*N \times O_F = \{(x, y, X, Y) \mid Y = 0\}$ . This is a coisotropic subspace and  $(C_F)^\omega = \{(x, y, X, Y) \mid x = 0, X = 0, Y = 0\}$ . It follows from this remark that a number of proofs go through, from our familiar setting, corresponding to  $F = \{pt\}$ , to the general case. In particular existence of a G.F.Q.I. is invariant by Hamiltonian isotopy as we see by extending the isotopy from  $T^*N$  to  $T^*(N \times F)$ . On the other hand, uniqueness is unclear, since we need to extend our notion of stable equivalence (see [Th] for the standard case).

Also we define  $c(\alpha, S)$  to be the critical value obtained by minimax from the image by the Thom class of  $\alpha \in H^*(N \times F)$ . Note that  $H^*(N \times F) = H^*(N) \otimes H^*(F)$ , so  $\alpha$  can be decomposed as  $\rho \otimes \sigma$ . Then we define  $\gamma(S) = c(\mu \otimes \mu, S) - c(1 \otimes 1, S)$ .

We may also apply proposition 5.1 from [V1]:

**Proposition 0.1.** For  $\alpha \in H^*(N \times F)$  we have

$$c(\alpha \otimes 1, S) \leq \inf_w c(\alpha, S_w) \leq \sup_w c(\alpha, S_w) \leq c(\alpha \otimes \mu, S)$$

**Definition.** Let  $L_0, L_1$  be Lagrange submanifolds. We say that  $L_0$  and  $L_1$  are *gf-homotopic* if and only if there exists a continuous family  $S_t$  of functions quadratic at infinity, such that  $L_1 = L_{S_1}$ ,  $L_2 = L_{S_2}$

Remarks:

1. The homotopy can be made generic, so that for each  $t$  except a finite number of them,  $S_t$  is the generating function of some manifold (i.e. satisfies the transversality condition) we have that  $S_t$  generates a submanifold  $L_t$ . Thus  $L_0$  and  $L_1$  can be connected by a regular homotopy, modulo a finite number of singularities. We still will denote by  $L_t$  the set of points defined by  $S_t$ .
2. Note that the quadratic form is assumed to be nondegenerate for all  $t$ . We could also have assumed that  $S_t$  is a fixed quadratic form (independent from  $t$ ) outside a compact set.
3. Note also that we only assume that  $L_0$  and  $L_1$  have a G.F.Q.I. , we do not require that it should be unique. So  $L_0$  and  $L_1$  need not be isotopic to the zero section.
4. Finally we remark that this property is invariant by symplectic reduction.

Let  $W$  be an open set in  $T^*N$ , we define an invariant by setting

**Definition.**

$$\tilde{c}(\alpha, W; 0_N) = \sup\{c(\alpha, S) \mid L_S \text{ is gf-homotopic to } 0_N \text{ by a homotopy supported in } W\}$$

Remarks:

1. The support of the isotopy,  $\text{supp}(L_t)$ , is defined as the closure of  $\bigcup_{0 \leq t \leq 1} L_t - 0_N$ .

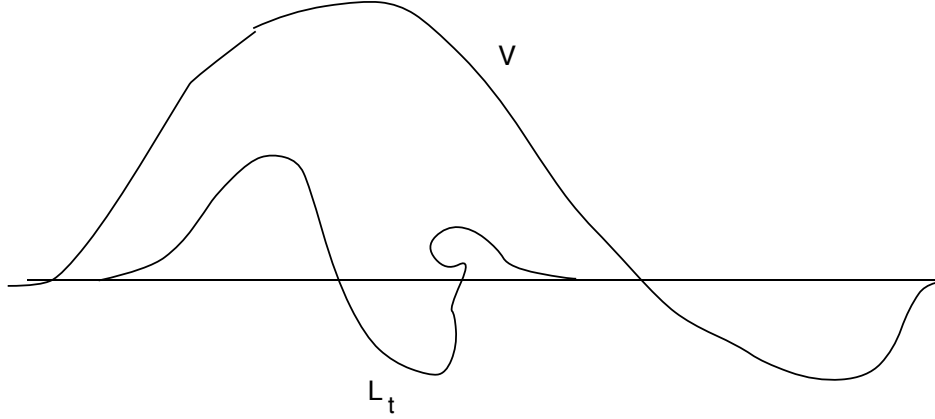


Figure 1:

2. Clearly we have that  $\check{c}(\alpha, W; 0_N)$  is invariant by symplectic isotopies preserving the zero section.
3. For  $U$  an open set in  $\mathbb{R}^{2n}$ , we have the inequality  $c(U) \leq \check{c}(\mu, U \times U; \Delta)$ . Indeed the graphs of a symplectic map  $\phi_t$  supported in  $U$  will be in  $U \times U$ . We denote by  $\check{c}(\alpha, U) = \check{c}(\alpha, U \times U; \Delta)$ . By the previous remark, this is a symplectic invariant.

**Theorem 0.2.** *Let  $S_t$  be a G.F.Q.I. for  $L_t$ ,  $R$  be the G.F.Q.I. of  $V$  in  $T^*N$  such that  $V \cap \text{supp}(L_t) = \emptyset$ . Then  $c(\alpha, S_1) \leq c(\alpha, R) - c(1, R)$ .*

*Proof.* We look as usual at  $c(\alpha, R - S_t)$ . This is independent of  $t$ , since critical points of  $R - S_t$  correspond to points in  $V \cap L_t$ . Thus the number  $c(\alpha, R - S_t)$  must be independent of  $t$ , and we have:

$$c(\alpha, R) = c(\alpha, R - S_0) = c(\alpha, R - S_1) \geq c(1, R) + c(\alpha, -S_1)$$

Thus

$$c(\alpha, S_1) \leq c(\alpha, R) - c(1, R).$$

□

We may now state

**Proposition 0.3.** *Let  $R$  be the G.F.Q.I. of  $N$  with  $N \cap W = \emptyset$ . Then*

$$\check{c}(\mu, W; O_N) \leq \gamma(R).$$

In particular since for  $N$ , graph of  $\psi$  with  $\psi(U) \cap U = \emptyset$ , and  $L_t$  supported in  $U \times U$ , we have  $L_t \cap N = \emptyset$ , we get:

**Proposition 0.4.** *If  $\psi(U) \cap U = \emptyset$  then*

$$\check{c}(\mu, U) \leq \gamma(\psi)$$

Now we consider the following situation. Let  $U$  be a domain in  $\mathbb{R}^{2n} \times \mathbb{R}^{2m}$ . The coordinates are denoted by  $z, q, p$ , with  $z \in \mathbb{R}^{2n}$   $q \in \mathbb{R}^m$   $p \in (\mathbb{R}^m)^*$ . Let  $x \in \mathbb{R}^m$  and set

$$U_x = (U \cap (\mathbb{R}^{2n} \times \{x\} \times \mathbb{R}^m)) / (\{0\} \times \{x\} \times \mathbb{R}^m)$$

We now make the following compactifications:  $\mathbb{R}^{2n} \times \mathbb{R}^{2m} \times \overline{\mathbb{R}^{2n}} \times \overline{\mathbb{R}^{2m}}$  can be identified to  $T^*\Delta_{\mathbb{R}^{2n}} \times T^*\Delta_{\mathbb{R}^{2m}}$ , where  $\Delta$  denotes the diagonal. The identification on the factor  $\mathbb{R}^{2m} \times \overline{\mathbb{R}^{2m}}$  being given through  $(q, p, Q, P) \implies (q + Q, \frac{p+P}{2}, \frac{p-P}{2}, Q - q)$  and we compactify  $\Delta_{\mathbb{R}^{2n}}$  to  $S^{2n}$  and  $\Delta_{\mathbb{R}^{2m}}$  to  $T^{2m}$ .

We may then define  $c(\rho \otimes \sigma, U)$  for  $\rho \in H^*(S^{2n})$  and  $\sigma \in H^*(T^{2m})$ .

**Theorem 0.5.**

$$c(\mu \otimes 1, U) \leq \inf_x \gamma(U_x)$$

*Proof.* Let  $S : (S^{2n} \times T^{2m}) \times \mathbb{R}^k$  be a G.F.Q.I. for  $L \in T^*S^{2n} \times T^*T^{2m}$  with  $L = 0_{S^{2n} \times T^{2m}}$  outside  $U \times U$ . More precisely we assume there is a gf-homotopy connecting  $L$  with the zero section, having this property. Let us consider  $S_x$ , the restriction of  $S$  to  $S^{2n} \times (\{2x\} \times T^m \times \mathbb{R}^k)$ . Note that the "base" was  $S^{2n} \times T^{2m}$  and is now  $S^{2n}$ . Thus the factor  $(\{x\} \times T^m)$  that we expect to be in the base, is now in the fibre.

The submanifold  $L_{2x}$  is the reduction of  $L$  by  $T^*S^{2n} \times \nu^*(\{2x\} \times T^m) = T^*S^{2n} \times (\{2x\} \times T^m) \times (\mathbb{R}^m \times \{0\})$ . This is the set  $\{(z, Z, q, p, Q, P) \mid q+Q = 2x, q - Q = 0\}$  or else  $\{(z, Z, q, p, Q, P) \mid q = Q = x\}$ . Now we claim that if  $L$  coincides with the zero section outside  $U \times U$ , then  $L_{2x}$  coincides with the zero section outside  $U_x \times U_x$ , and there is a gf-homotopy connecting  $L_{2x}$  with the zero section. Indeed we have that  $(z, Z) \in L_{2x} - (U_x \times U_x)$ , if and only if there exist  $q_0, p_0, Q_0, P_0$  such that  $(z, Z, q_0, p_0, Q_0, P_0) \in L$  with  $q_0 = Q_0 = x$  and for all  $p, P$  we have that  $(z, Z, x, p, x, P) \notin U \times U$ . This last assumption implies that  $z = Z$ , hence  $(z, Z) \in 0_{S^{2n}}$ . We just proved that  $L_{2x}$  coincides with the zero section away from  $U_x \times U_x$ . Thus  $c(\alpha, S_x) \leq \check{c}(\alpha, U_x)$ , hence

$$c(\alpha \otimes 1, S) \leq \inf_x \check{c}(\alpha, U_x) \leq \inf_x \gamma(\alpha, U_x)$$

As a result

$$c(\mu \otimes 1, U) \leq \inf_x \gamma(U_x).$$

□

## References

- [Th] D. Th  ret. *Th  se de Doctorat*. Universit   de Paris 7, 1996.
- [V1] C. Viterbo. Symplectic topology as the geometry of generating functions *Math. Annalen*, 292: 685-710, 1992.